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## Direct Observation of Gold Sol by Cryo-Electron Microscopy

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In a study of gold sol with a conventional high-resolution electron microscopy, suggesting that the surface of a particle is coated with a layer of citrate ion, contamination during specimen preparation and/or observation is not deniable. The observation by cryo-electron microscopy combined with ice embedding method confirms that the surface of gold sol particles adsorbs citrate ion which looks like a layer. Citrate ion is thought to arise repulsive force which disperses gold sol particles. Furthermore, new particles smaller than 15 Å are found to be present together with well-known gold particles larger than 150 Å.

**KEY WORDS:** Gold sol/ Cryo-electron microscopy/ Ice embedding/

### I. INTRODUCTION

Colloidal particles are in general believed to be dispersed by repulsive force arisen from electrical double layer. The formation of electrical double layer would be chemically explained as follows; in aqueous sol, hydrophobic particles acquire surface charges by adsorbing ions, and subsequently this charge is compensated by a counterion in the sol.

Sizes of colloidal particles are far less than the magnitude observed by optical microscope. Electron microscopy could thus be most suitable method to observe detailed structures of colloidal particles. Nowadays, the resolution as well as feasibility of electron microscopes has been improved sufficiently to yield images of inorganic materials at high resolution. We used sodium citrate sol to examine the surface structure of gold particles, because of their highest stability and homogeneity in size. The surface of the colloidal gold is known to have negative charge, because of the movement of the particle toward a positive electrode in an electric field. Electron microscopic study using alumina-supermicrogrid suggested that a surface of the particle was not bare but coated with a monolayer of citrate ions<sup>1)</sup>. Ambiguity, however, remained in the previous experiments that gold particles might be contaminated with the other residual materials during dry or with organic compounds floating in the air.

As to specimen preparation, the deformation of a colloidal structure has been overcome through development of ice embedding method<sup>2)</sup>. Cryo-electron microscopy combined with ice embedding method can be most powerful approach to

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visualize intact structures of colloidal particles. We have recently developed a new type of cryo-electron microscope<sup>3)</sup> (cryo-EM) which can be cooled with superfluid helium. This microscope has top-entry type of stage equipped with cryo-transfer device. We confirmed that this cryo-electron microscope realize 2.6 Å (1 Å=0.1 nm) resolution at the stage temperature of 1.5 K.

We could thus confirmed that the surface of gold sol were coated with a layer of citrate ion. Furthermore, we found that new particles smaller than 15 Å are present together with usual gold particle larger than 150 Å. This reports describes the mechanism about stabilization of gold sol related to their observations.

## II. MATERIALS AND METHOD

The gold sol was prepared as described by Turkevich, et. al.<sup>4)</sup> Five volumes of 1% (w/v) sodium citrate was added to 95 volumes of boiling solution containing 0.08% chloroauric acid which was being stirred vigorously. We observed two gold sols which were prepared freshly or more than ten years ago respectively.

The specimen for cryo-electron microscopy was prepared as follows; the gold

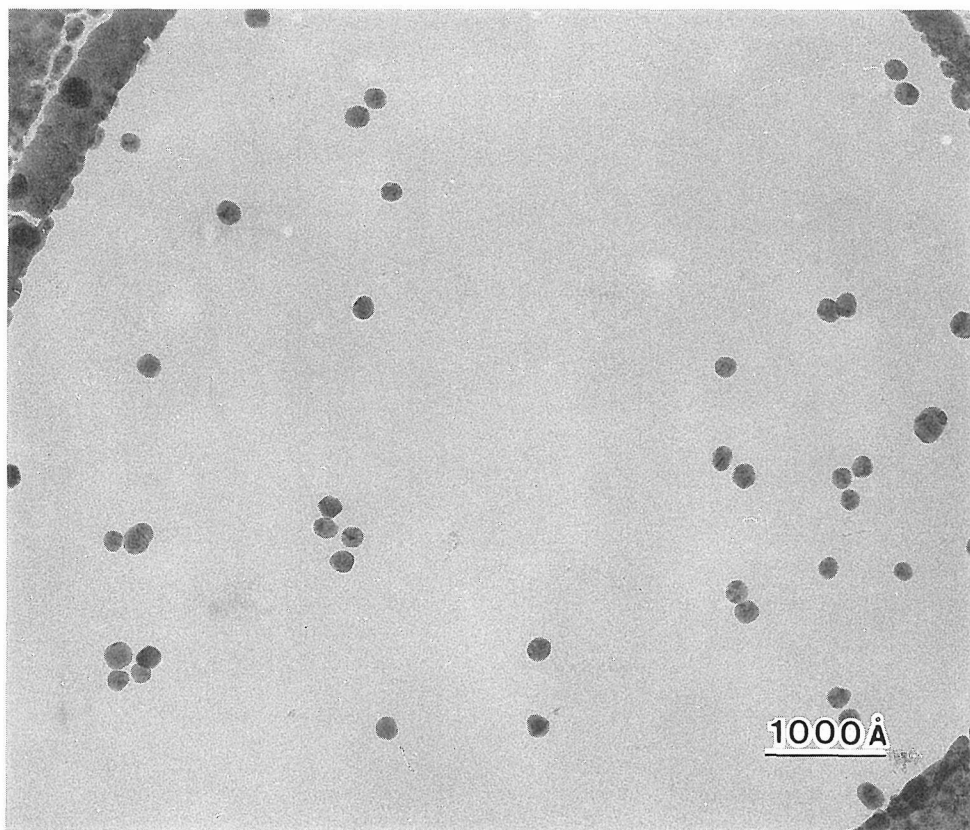


Fig. 1. Gold sol particles embedded in vitreous ice filling a hole of microgrid. Major particles are separated from together but some are connected to one or two other particles.

sol of 2 or 3  $\mu\text{l}$  was put on a mesh coated with microgrid, and most of the sol was sucked away with a small piece of filter paper. It was quickly injected into liquid ethane at about  $-160^\circ\text{C}$  so to embed gold particles in vitreous ice filling up all over holes of microgrid.

The images of gold sol were taken with a high resolution cryo-EM equipped with a cryo-transfer device. The cryo-transfer device was essential to ice embedding method because the specimen needs to keep under devitrification temperature and prevent from contamination of ice crystallites deposited on the vitreous ice film. The specimen was cooled to about 4 K with liquid helium. The cryo-EM was operated at 400 kV. The photographs were taken with magnification of 60,000 or 40,000, current density of 6-14 electrons/ $\text{\AA}^2\text{sec}$  and exposure time of 11 seconds.

### III. RESULTS

Figure 1 shows gold particles embedded in a vitreous ice filling up a hole of

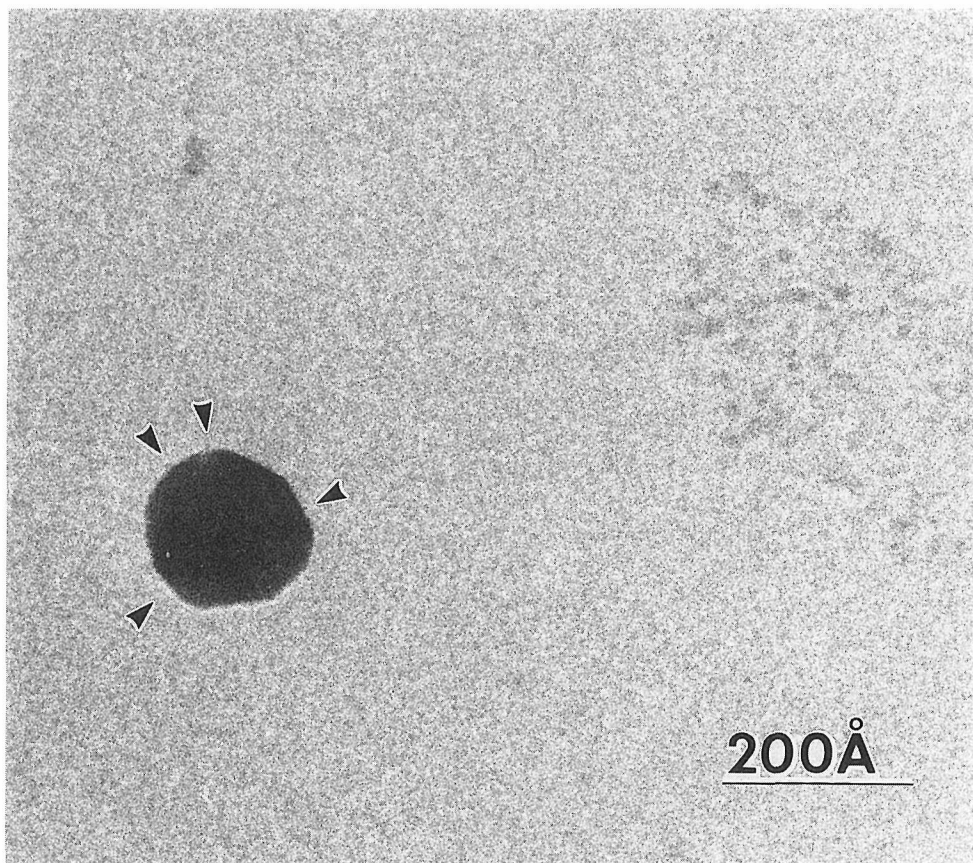


Fig. 2. Two species of gold sol particles. The size of larger particles is about 180  $\text{\AA}$  diameter. Smaller particles, diameter of which is below 15  $\text{\AA}$ , are observed with lower contrast than the larger one. Some of small particles are found to be adsorbed on the surface of the larger, but most of them assemble loosely together.



microgrid. The ice embedding method was found to be effective for a specimen support, because a vitreous ice film has high transparency to electron beam. It therefore results in lower noise of images than a carbon film. We could observe that most of particles were dispersed except some connected with one or two other particles.

The diameter of particles was about 180 Å on average. Some particles which were not globular retained the crystal habit of a gold metal (Fig. 2).

A lot of small particles with diameters below 15 Å were present together with well known gold particles. These new types of particles were identified as gold by electron diffractogram (Fig. 3, inserted). Most of them assemble loosely together, and some bind to larger particles as shown in Fig. 4, while a few were found to be present alone.

These gold particles were too small to be observed by a past conventional EM. The high resolution cryo-EM equipped with cryo-transfer device is required to visualize them, because noise from a supporting film, such as carbon film, will hamper visualization of the small particles, and they were so small as to pass through a hole of any microgrids, even an alumina-supermicrogrid<sup>5)</sup>. They were

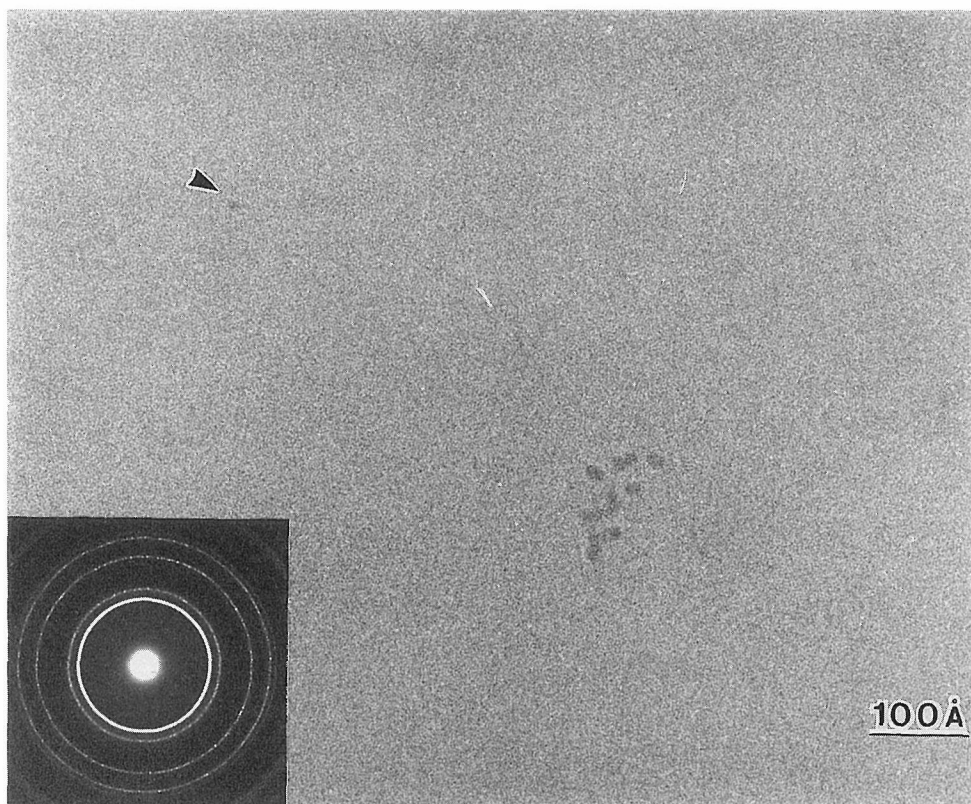


Fig. 3. Small gold particles also seen in gold sol aged for more than ten years. Inserted electron diffractogram from small particles indicates that they are composed of gold.

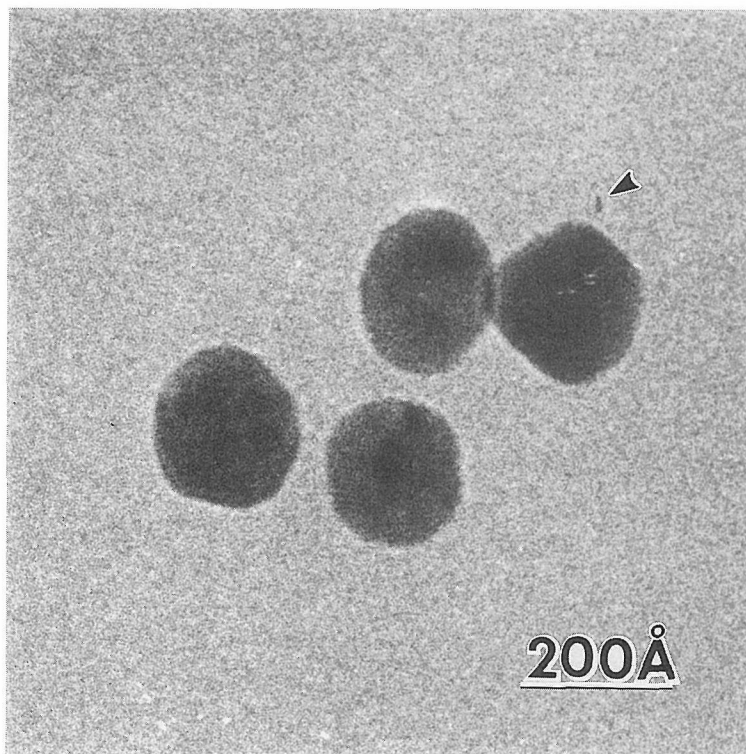


Fig. 4. Small particles near to or in contact with large particle.

observed in not only a freshly prepared gold sol but also in that prepared more than ten years ago (Fig. 3). Small lumps and/or thin layers were observed on the surface of gold particles as shown with arrow in Fig. 2. The similar feature was observed between two large particles (Fig. 5-a, b, c, d) as a bridge connecting two particles. These bridges are recognized by more careful observation to be constructed from materials with high and low densities, the denser in contrast would be presumably gold and less denser citrate ion. The lumps on the surface were also found to be constructed with the two.

#### IV. DISCUSSION

The observation of gold particles with cryo-EM revealed that the sizes of gold sol particles are distributed into two separate peaks. However, they have been recognized so far to be single distribution of about 200 Å diameter through the observation by conventional electron microscopy. The small particles below 15 Å certainly coexist with larger ones, because cryo-EM is able to observe the intact structure of a colloidal sol without artificial effect on the specimen preparation for electron microscopic observation. The small particles were confirmed to be gold crystallites by electron diffraction, and this eliminates a possibility that the particles are small ice crystals.

Small particles are supposed to have a tendency of dissolving into ions and/

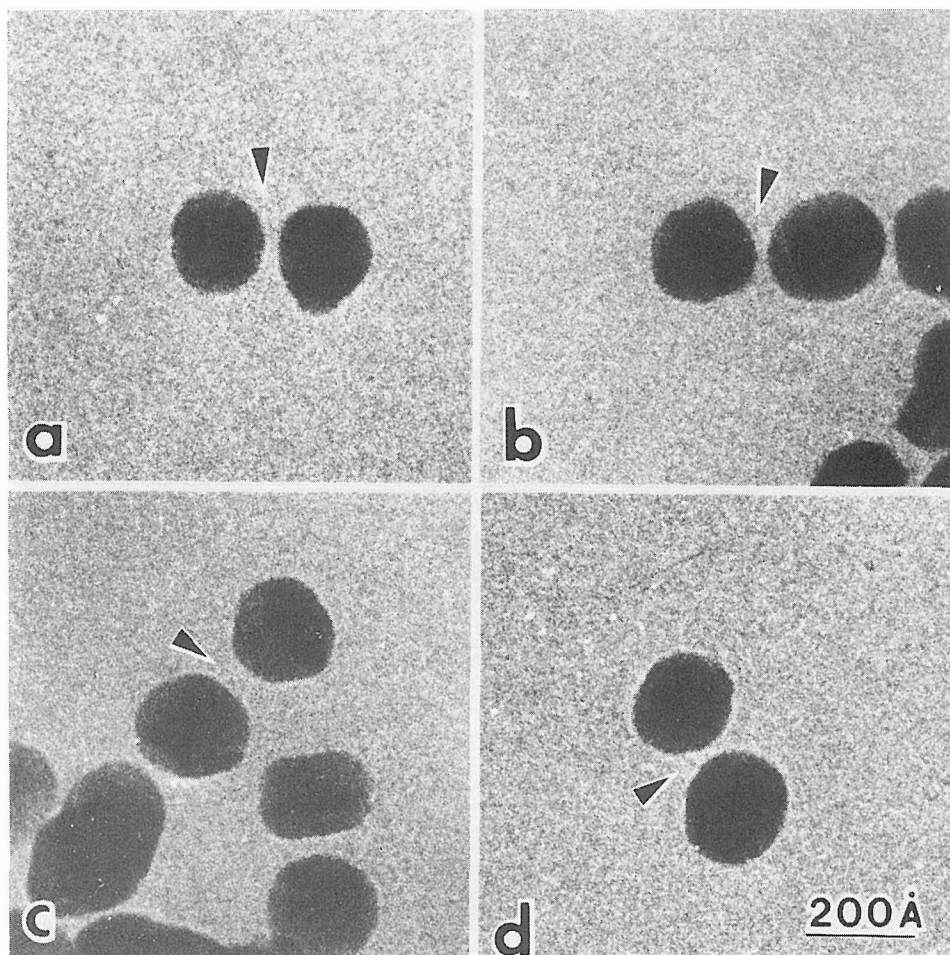


Fig. 5. Various types of adsorbate connecting two particles. They are denoted by arrow-heads. (a), (b) Thin layer, (c) Lumps, (d) Bridge.

or condensing on surfaces of larger ones. The small particles, however, are retained stable for more than ten years or more and the major particles assembling together loosely as mentioned above. It is difficult to explain at the same time the presence and the assembly of such small particles in the sol in terms of an electrical double layer. A monolayer or lumps are adsorbed on the surface of gold sol particles and these adsorbate enhance stabilization of colloidal particles. This stabilizing model can be also applied to small particles and is supported by the preservation of a small particle adsorbed on a large particle. The adsorbate is composed of mainly citrate ion, which is tightly bonded some surface area and directs its carboxyl group towards a medium. The particles repulse one another by negative charge of the carboxyl ion. A collision together with the uncoated area makes a particle larger and causes precipitation. A probability of collision is, however, very low and sodium citrate sol is extremely stable. When the uncoated area faces to a carboxylate covering a surface of another particle, the two particles

tend to be tied up. There is a different way of joining two particles; when a gold ion or a crystallite combined with a carboxyl group connects to the carboxylate on another, the two particles are connected with each other in distance larger than 15 Å. The fastening gold mentioned above can be detected between two particles as shown by arrow head in Fig. 5.

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